

FILING INFORMATION FOR INSURERS LICENSED IN ONTARIO

Life Insurance Companies (without segregated funds):

Incorporating jurisdiction	Forms and Reports required by Ontario	Electronic file	Forms & Instructions are available from	Deadline for filing is
Alberta	ASCII file	Yes	The “ Filing Financial Returns ” page of the Office of the Superintendent of Financial Institutions website: http://www.osfi-bsif.gc.ca/Eng/fi-if/rtn-rlv/fr-rf/Pages/default.aspx	February 28, 2021
Quebec	ASCII file	Yes	The “ Financial returns ” page of the Autorité des marchés financiers website: http://www.lautorite.qc.ca/en/financial-returns-pers-pro.html	February 28, 2021
Ontario	LIFE-1, Auditors’ Reports, Actuary’s Report, LICAT, Dynamic Capital Adequacy Testing Report (DCAT)	Yes Signed copies in pdf format Plus excel file for LIFE-1	The Annual Returns and Instructions of the Financial Service Regulatory of Authority of Ontario	February 28, 2021 DCAT due September 30, 2021
	ASCII file	Yes		February 28, 2021
New Brunswick	ASCII file	Yes	The “ Filing Financial Returns ” page of the Office of the Superintendent of Financial Institutions website: http://www.osfi-bsif.gc.ca/Eng/fi-if/rtn-rlv/fr-rf/Pages/default.aspx	February 28, 2021

Property and Casualty Insurance Companies

Alberta	ASCII file	Yes	The “ Filing Financial Returns ” page of the Office of the Superintendent of Financial Institutions website: http://www.osfi-bsif.gc.ca/Eng/fi-if/rtn-rlv/fr-rf/Pages/default.aspx	February 28, 2021
Quebec	ASCII file	Yes	The “ Financial Returns ” page of he Autorité des marchés financiers website: http://www.lautorite.qc.ca/en/pc-insurance-pro.html	February 28, 2021
Ontario	P&C-1, Auditors’ Reports, Actuary’s Report, Dynamic Capital Adequacy Testing Report (DCAT)	Yes Signed copies in pdf format Plus excel file for P&C-1	The Annual Returns and Instructions of the Financial Service Regulatory of Authority of Ontario	February 28, 2021 DCAT due September 30, 2021
	ASCII file	Yes		February 28, 2021
Saskatchewan	ASCII file	Yes	The “ Filing Financial Returns ” page of the Office of the Superintendent of Financial Institutions website : http://www.osfi-bsif.gc.ca/Eng/fi-if/rtn-rlv/fr-rf/Pages/default.aspx	February 28, 2021

Insurance Companies writing both Life and Property and Casualty Insurance

Quebec	ASCII file (for both Life and P&C)	Yes	The “ Insurance and Deposit Institutions ” page of he Autorité des marchés financiers website: http://www.lautorite.qc.ca/en/forms-insurance-and-deposit-institutions-pro.html	February 28, 2021
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Fraternal Societies:

Ontario	OSFI 56, Auditors’ Reports, Actuary’s Report, LICAT	Yes Signed copies in pdf format Plus excel file for OSFI 56	The Annual Returns and Instructions of the Financial Service Regulatory of Authority of Ontario	February 28, 2021
	ASCII file	Yes		February 28, 2021

Reciprocal Exchanges:

Ontario	P&C-1, Auditors' Reports, Actuary's Report	Yes Signed copies in pdf format Plus excel file for P&C-1	The Annual Returns and Instructions on page 272 of the Financial Services Commission of Ontario (FSCO) http://www.fSCO.gov.on.ca/en/insurance/annual-returns/Pages/default.aspx	February 28, 2021
	ASCII file	Yes		February 28, 2021

Prepays:

Quebec and New Brunswick	S15, Auditors' Reports	Yes	Annual Registration Renewals are provided by FSCO	February 28, 2021
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Life Reinsurer:

Quebec	ASCII file	Yes	The "Financial returns" page of the Autorité des marchés financiers website: http://www.lautorite.qc.ca/en/financial-returns-pers-pro.html	April 17, 2021
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Property and Casualty Reinsurer:

Ontario	P&C-1, Auditors' Reports, ASCII file, Dynamic Capital Adequacy Testing Report (DCAT)	Signed copies in pdf format Plus excel file for P&C-1	The Annual Returns and Instructions of the Financial Service Regulatory Authority of Ontario	April 17, 2018 DCAT due September 30, 2021
	ASCII file	Yes		February 28, 2021

Reinsurer licensed for both life and property and casualty:

Ontario	P&C-1, LIFE-1, Auditors' Reports, Actuary's Report, LICAT, Dynamic Capital Adequacy Testing Report (DCAT)	Signed copies in pdf format Plus excel file for P&C-1 and LIFE-1	The Annual Returns and Instructions of the Financial Service Regulatory Authority of Ontario	April 17, 2021 DCAT due September 30, 2021
	ASCII file	Yes		April 17, 2021